



# LAUSD 457(b) Deferred Compensation Plan ENROLLMENT FORM

## PERSONAL INFORMATION (please print clearly using black or blue ink)

**NAME:** \_\_\_\_\_ **SOCIAL SECURITY NUMBER:** \_\_\_\_\_  
**ADDRESS:** \_\_\_\_\_ **APT:** \_\_\_\_\_  
**CITY:** \_\_\_\_\_ **STATE:** \_\_\_\_\_ **ZIP CODE:** \_\_\_\_\_  
**PHONE NUMBER:** \_\_\_\_\_ **EMAIL:** \_\_\_\_\_  
**EMPLOYEE ID:** \_\_\_\_\_ **DATE OF BIRTH:** \_\_\_\_/\_\_\_\_/\_\_\_\_

## BENEFICIARY INFORMATION (If you need additional space please attach an additional page with the requested information.)

	Primary Beneficiary Name(s)	Address	Relationship	Date of Birth	Percentage Allocation
1.					%
2.					%
	Contingent Beneficiary Name(s)	Address	Relationship	Date of Birth	Percentage Allocation
1.					%
2.					%

**Minimum/Maximum Contribution Amounts:** The minimum amount that may be contributed is \$25.00 or 1% per paycheck. You may contribute to pre-tax and/or post-tax (Roth), however, all contributions must be in dollars or percentage. The annual maximum combined contribution amount, pre-tax and Roth, cannot exceed the Internal Revenue Code limit. However, if you are or will be age 50 or older during the year, you may contribute an additional amount. The Internal Revenue Code limit may change annually and will be available in January of each year online at <http://457b.lausd.net>. My contribution(s) will be deducted from every paycheck and will be started as soon as administratively possible subject to Los Angeles Unified School District's (LAUSD) payroll deadlines. If you need assistance, please call 1-844-525-2873.

*Investment, insurance and annuity products are not FDIC insured, are not bank guaranteed, are not bank deposits, are not insured by any federal government agency, are not a condition to any banking service or activity, and may lose value. Investment products may be subject to market and other risk factors. See the applicable product literature or visit <http://457b.lausd.net> for details. You should consider the investment objectives, risks, charges and expenses carefully before investing. Please call 1-844-525-2873 or go to <http://457b.lausd.net> for current product and fund prospectuses that contain this and other information. Please read prospectuses carefully before investing.*

## PRE-TAX INVESTMENT FUND ELECTIONS (MUST TOTAL 100%)

**ELECTION (A) – Allocate 100% of my pre-tax contributions into the appropriate default fund.** I elect to defer \$ \_\_\_\_\_ or \_\_\_\_\_ % from every paycheck into the LAUSD 457(b) Plan.

**ELECTION (B) – Build my own pre-tax contribution portfolio using the investment options below.** With this option, you can choose among different investment options from the various asset classes to match your own retirement timeline and risk tolerance. Allocations must equal 100%. I elect to defer \$ \_\_\_\_\_ or \_\_\_\_\_ % from every paycheck into the LAUSD 457(b) Plan. **If your selection is invalid or unclear, your contributions will be automatically invested into the age appropriate BlackRock LifePath Index Fund.**

<p><b>Fixed Income - Stability of Principal</b></p> <p>Voya Fixed Account 457/401 II _____ .00%</p> <p><b>Fixed Income - Bond Mutual Funds</b></p> <p>Vanguard Total Bond Market Index Fund - VBTIX _____ .00%</p> <p>PIMCO Total Return Institutional Class – PTRRX _____ .00%</p> <p><b>Retirement Target Date Portfolio Funds/Asset Allocation Models</b></p> <p>BlackRock LifePath Index Retire K Shares - LIRKX _____ .00%</p> <p>BlackRock LifePath Index 2030 K Shares - LINKX _____ .00%</p> <p>BlackRock LifePath Index 2040 K Shares - LIKX _____ .00%</p> <p>BlackRock LifePath Index 2050 K Shares - LIPKX _____ .00%</p> <p>BlackRock LifePath Index 2060 K Shares - LIZKX _____ .00%</p>	<p><b>Balanced Portfolios/Asset Allocation Models</b></p> <p>Vanguard Wellesley Income Fund Admiral Shares - VWIAX _____ .00%</p> <p>Vanguard Wellington Fund Admiral Shares – VWENX _____ .00%</p> <p><b>U.S. Equity Mutual Funds-Mid/Small Company</b></p> <p>Vanguard Mid Cap Value Index Fund – VMVAX _____ .00%</p> <p>Vanguard Extended Market Index Fund - VIEIX _____ .00%</p> <p><b>U.S. Equity Mutual Funds-Large Company</b></p> <p>American Funds Fundamental Investors R6-RFNGX _____ .00%</p> <p>American Funds Washington Mutual Investors Fund – RWMGX _____ .00%</p> <p>Vanguard Institutional Index Fund - VINIX _____ .00%</p> <p>Vanguard Growth Index Fund - VIGIX _____ .00%</p> <p><b>International</b></p> <p>American Funds EuroPacific Growth Fund R6 – RERGX _____ .00%</p> <p>Vanguard Developed Markets Index Fund – VTMNX _____ .00%</p> <p><b>Total Allocation Percentage (whole numbers only) 100%</b></p>
--	--

**ROTH INVESTMENT FUND ELECTIONS (MUST TOTAL 100%-EFFECTIVE 01/01/2020)**

**ELECTION (A) – Allocate 100% of my Roth contributions into the appropriate default fund.** I elect to defer \$ \_\_\_\_\_ or \_\_\_\_\_% from every paycheck into the LAUSD 457(b) Plan.

**ELECTION (B) – Build my own Roth contribution portfolio using the investment options below.** With this option, you can choose among different investment options from the various asset classes to match your own retirement timeline and risk tolerance. Allocations must equal 100%. I elect to defer \$ \_\_\_\_\_ or \_\_\_\_\_% from every paycheck into the LAUSD 457(b) Plan. **If your selection is invalid or unclear, your contributions will be automatically invested into the age appropriate BlackRock LifePath Index Fund.**

**Fixed Income - Stability of Principal**

Voya Fixed Account 457/401 II \_\_\_\_\_ .00%

**Fixed Income - Bond Mutual Funds**

Vanguard Total Bond Market Index Fund - VBTIX \_\_\_\_\_ .00%

PIMCO Total Return Institutional Class - PTRRX \_\_\_\_\_ .00%

**Retirement Target Date Portfolio Funds/Asset Allocation Models**

BlackRock LifePath Index Retire K Shares - LIRKX \_\_\_\_\_ .00%

BlackRock LifePath Index 2030 K Shares - LINKX \_\_\_\_\_ .00%

BlackRock LifePath Index 2040 K Shares - LIKKX \_\_\_\_\_ .00%

BlackRock LifePath Index 2050 K Shares - LIPKX \_\_\_\_\_ .00%

BlackRock LifePath Index 2060 K Shares - LIZKX \_\_\_\_\_ .00%

**Balanced Portfolios/Asset Allocation Models**

Vanguard Wellesley Income Fund Admiral Shares - VWIAX \_\_\_\_\_ .00%

Vanguard Wellington Fund Admiral Shares - VWENX \_\_\_\_\_ .00%

**U.S. Equity Mutual Funds-Mid/Small Company**

Vanguard Mid Cap Value Index Fund - VMVAX \_\_\_\_\_ .00%

Vanguard Extended Market Index Fund - VIEIX \_\_\_\_\_ .00%

**U.S. Equity Mutual Funds-Large Company**

American Funds Fundamental Investors R6-RFNGX \_\_\_\_\_ .00%

American Funds Washington Mutual Investors Fund - RWMGX \_\_\_\_\_ .00%

Vanguard Institutional Index Fund - VINIX \_\_\_\_\_ .00%

Vanguard Growth Index Fund - VIGIX \_\_\_\_\_ .00%

**International**

American Funds EuroPacific Growth Fund R6 - RERGX \_\_\_\_\_ .00%

Vanguard Developed Markets Index Fund - VTMNX \_\_\_\_\_ .00%

**Total Allocation Percentage (whole numbers only) 100%**

**AUTHORIZATION**

I hereby understand and certify as follows:

- By completing this form you are directing LAUSD to allocate funds under the LAUSD 457(b) Deferred Compensation Plan. All of your rights under these investment options are subject to the terms of the LAUSD 457(b) Plan. LAUSD may decide to cease offering any of these investment options under the 457(b) Plan and should LAUSD decide to do so, you may be required to transfer your accumulations in such plan investment options to other investment options.
- I wish to participate in the 457(b) Plan. I hereby authorize and direct LAUSD to reduce my compensation by the amount or the percentage as indicated on this form and to remit such amount to Voya®. I understand that my total contributions for each calendar year cannot exceed the contribution limit set by the Internal Revenue Code and that it is my responsibility to monitor compliance with these rules.
- I understand that this salary reduction agreement revokes and replaces any 457(b) Plan Salary Reduction Agreement which I have previously signed. I understand that the contributions will be deducted each pay period. This Salary Reduction Agreement is irrevocable with respect to amounts paid while the Agreement is in effect. It will remain in effect until replaced with a different Salary Reduction Agreement.
- I understand that if I have selected Election A, the funds will be invested into the default fund, which is the appropriate BlackRock LifePath Index fund, with the target date closest to the year I will attain age 65. I understand that I may change my investment options at any time by contacting Voya®. I assume responsibility for reading and understanding the materials provided by the investment companies regarding investments and for selecting among the alternatives. The applicable prospectuses are made available to me at either <http://457b.lausd.net> or at the customer service number listed at the bottom of this page. The Voya® Privacy Policy and the Frequent Trading Policy are also made available to me from the same sources.
- I understand that I may not access funds contributed to my account until the first of the following events occurs: (a) I reach age 72; (b) I retire from LAUSD; (c) my employment with LAUSD has been terminated for 32 days or more; or (d) I experience an eligible unforeseen emergency. I understand that amounts I may withdraw will be subject to taxes and may be subject to Internal Revenue Service (IRS) penalties depending on my age at the time of withdrawal.
- I understand and acknowledge Benefits Administration cannot give me tax or investment advice regarding my retirement account(s); I can obtain information through Voya®.

*I have read and acknowledged all provisions of this form and certify that the information I furnished herein is true, accurate and complete.*

**PARTICIPANT SIGNATURE** \_\_\_\_\_ **DATE** \_\_\_\_\_

**Please submit your completed form to:**

**VIA REGULAR MAIL:**

Voya Financial  
Attn: LAUSD 457(b) Deferred Compensation Plan  
PO Box 389  
Hartford, CT 06141

**VIA OVERNIGHT MAIL:**

Voya Financial  
Attn: LAUSD 457(b) Deferred Compensation Plan  
One Orange Way  
Windsor, CT 06095

**If you have any questions or need to obtain additional plan or account information, please go online at <http://457b.lausd.net> or call the Voya Service Center at 1-844-52LAUSD (1-844-525-2873) (TTY/TTD users call 1-877-705-6680) Monday through Friday, 8:00 A.M. to 6:00 P.M. Pacific Time (excluding stock market holidays).**